

Logical constants and logical consequence

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Udine

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Overview

- 1 Motivation
- 2 Dualities between constants and consequence
- 3 Logical vs. analytical inference
- 4 Carnap's Question
- 5 Conclusions

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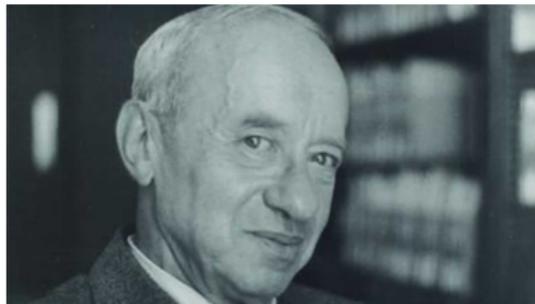
To begin, we observe that (sets of) constants and consequence relations (not necessarily logical) are **dual** notions.

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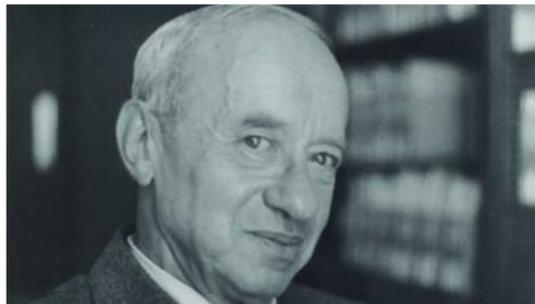


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Both Bolzano and Tarski emphasized the importance of this question, and that they had no answer.

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So it makes sense to look for additional criteria of logicity.

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SYNTAX: L generates sentences and possibly other **expressions** from a set of primitive **symbols** (also variable-binding operators).

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Bonnay and W-hl (2012) show that if L admits expansions (in a precise sense), the two coincide.

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to be **the semantic concept of consequence**.

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The map yields — in Bolzano's spirit — more or less intuitive notions of consequence depending on the choice of X .

It says nothing specifically about **logical** consequence.

A very simple idea of constancy

Idea: a word/symbol is a constant iff it is essential to inference.

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So “shark” is **not** a constant (and similarly “bites”, “dog”),
but “every” is.

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From any $\vdash \in \text{CONS}$, we can *extract* its constants as follows:

Definition

$$\text{Const}(\vdash) = \{u \in \text{Symb}_L : \exists \Gamma, \varphi, u' \text{ such that } \Gamma \vdash \varphi \text{ but } \Gamma[u'/u] \not\vdash \varphi[u'/u]\}$$

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This is a **syntactic** way to extract constants from **any** consequence relation, building on an extremely simple but intuitive idea.

A Galois duality

Theorem (Bonney and W-hl, 2012)

Restricting attention to *compact* consequence relations:

- (a) The pair of functions $(\text{Const}, \Rightarrow_{\cdot})$ is a monotone Galois connection from $(\text{BTCONS}, \subseteq)$ to $(\mathcal{P}(\text{Symb}), \subseteq)$. I.e. for $\vdash \in \text{BTCONS}$ and $X \subseteq \text{Symb}$,

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NB This does not extend to all of *CONS*: in general the map *Const* is not monotone.

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Fact

(Val, Log) is an antitone Galois conn. between (INT, \subseteq) and (CONS, \subseteq) :

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(Compare first-order logic: $K \subseteq \text{Mod}(\Psi)$ iff $\Psi \subseteq \text{Th}(K)$.)

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Note:

$$\Rightarrow_X = Log(Stand(X))$$

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Proposition

(Unif, Stand) is an antitone Galois connection between (INT, \subseteq) and ($\mathcal{P}(\text{Symb})$, \subseteq):

$$K \subseteq \text{Stand}(X) \text{ iff } X \subseteq \text{Unif}(K)$$

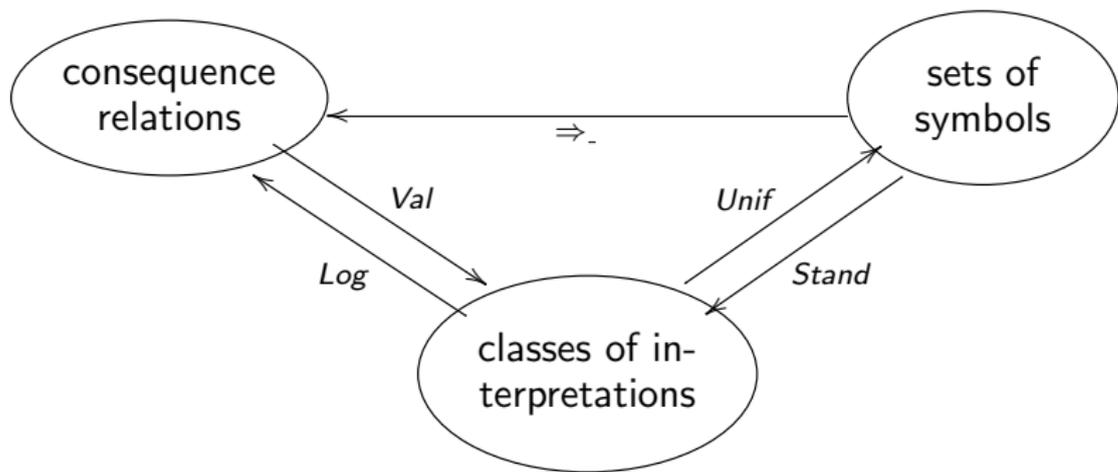
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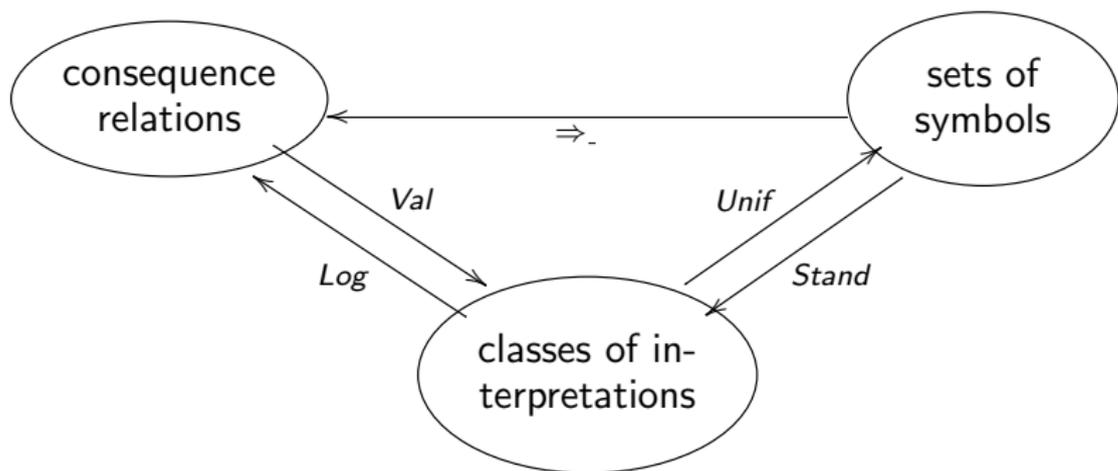
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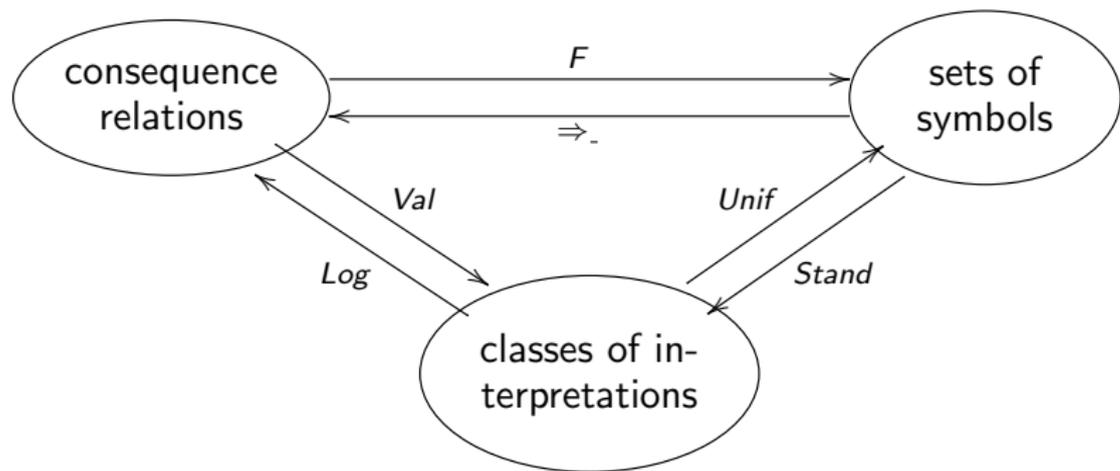
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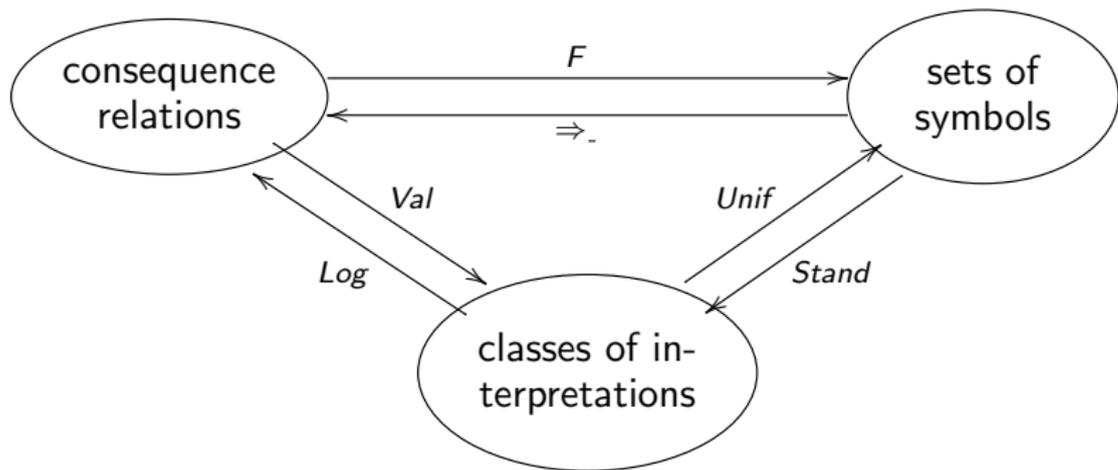
$K \subseteq Val(\vdash)$ iff $\vdash \subseteq Log(K)$

$K \subseteq Stand(X)$ iff $X \subseteq Unif(K)$

Note 1: antitone Galois connections don't compose

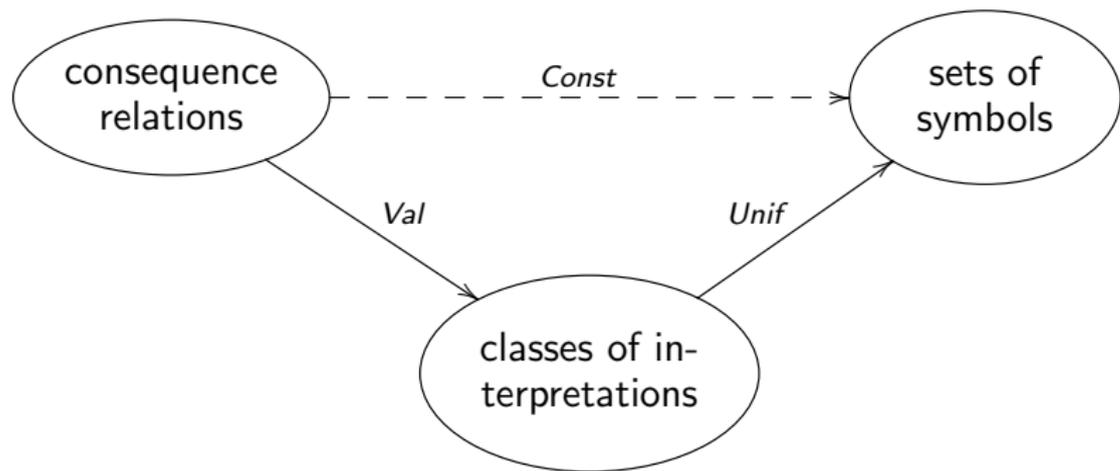


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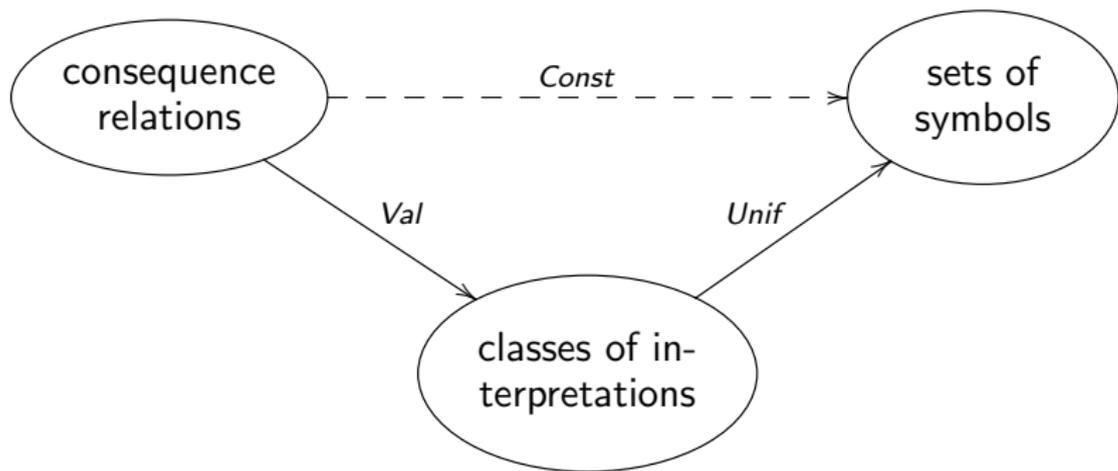
Although $F = Unif \circ Val$ and $\Rightarrow_{\cdot} = Log \circ Stand$ are monotone functions, (F, \Rightarrow_{\cdot}) is **not** a Galois connection.

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One can define another semantic extraction function H , with $Unif(K) \subseteq H(K)$ for all K , such that when $\vdash = Log(K)$,

$$H(Val(\vdash)) = Const(\vdash)$$

Unif and analytic inference

Let \vdash be a pretheoretic notion of consequence, validating both (1) and (2):

- (1) Phil is good-looking *and* he is a student
Hence: Phil is a student.
- (2) Phil is good-looking *and* he is a *student*
Hence: Phil is not a *dog*.

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Intuitively, (1) is logically valid, but (2) is only analytically valid.

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- (2) Phil is good-looking *and* he is a *student*
Hence: Phil is not a *dog*.

Intuitively, (1) is logically valid, but (2) is only analytically valid.

Unif is sensitive to this difference, in contrast with *Const*.

Unif and analytic inference

Let \vdash be a pretheoretic notion of consequence, validating both (1) and (2):

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Unif(K) can sometimes isolate the 'logical part' of a consequence relation $Log(K)$.

U and analytic inference: an example

Let I_L describe a domain M , with L using ordinary atomic sentences and propositional logic, and having a name for each element of M :

$$\text{Symb}_L = \{\neg, \wedge, \rightarrow, \textit{student}, \textit{human}, \textit{dog}, \dots\} \cup \{\bar{a} : a \in M\}.$$

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Consider **meaning postulates** such as

$$(\psi_a) \textit{student}(\bar{a}) \rightarrow \textit{human}(\bar{a}), \text{ for } a \in M$$

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Fact

$$(a) \textit{Const}(\vdash) = \{\neg, \wedge, \rightarrow, \textit{student}, \textit{human}, \textit{dog}, \dots\}$$

$$(b) \textit{Unif}(\textit{Val}(\vdash)) = \{\neg, \wedge, \rightarrow\}$$

$$(c) \Rightarrow_{\textit{Unif}(\textit{Val}(\vdash))} = \models^{PL}$$

Syntax matching semantics

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In particular if we start from familiar logical consequence relations.

Such consequence relations need not be Bolzano-Tarskian, but can be defined syntactically by rules.

When syntactically defined consequence coincides with Bolzano-Tarski consequence wrt some natural semantics, we have soundness and completeness theorems: syntax matches semantics.

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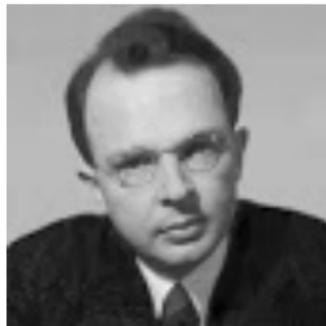
From now on we focus on **logical** consequence and **logical** constants, applying the semantic 'extraction method' just described.

Carnap's *Formalization in Logic* (1943)



Early on, Carnap considered such categoricity results as core metalogical results, on a par with soundness and completeness.

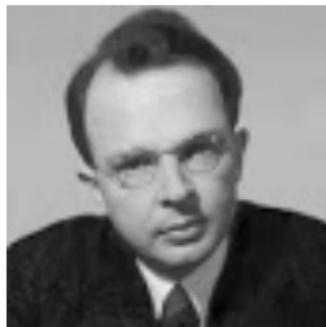
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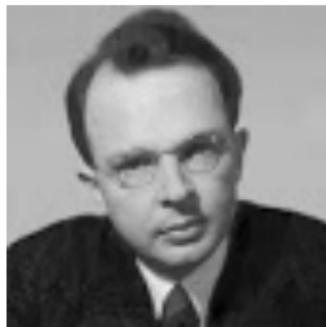


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Carnap worried that even the classical meaning of the propositional connectives might not be fixed by classical consequence, since he found valuations consistent with \models^{PL} that gave non-standard truth tables for \vee , \rightarrow , and \neg ; e.g. the valuation that assigns all and only tautologies the value True.

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This question can be asked for any logic.

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The following fact is essentially in Carnap's book:

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- \therefore We have a **complete answer to CQ for classical PL**.
- Carnap didn't see this fact as a solution — the idea of formal (compositional) semantics wasn't around in 1943!

First-order logic

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So interpretations are essentially **weak models**: $(\mathcal{M}, \mathcal{Q})$, where \mathcal{M} is an ordinary first-order model and $\mathcal{Q} \subseteq \mathcal{P}(M)$ interprets \forall .

It is easy to see that consistency with \models^{FO} (i.e. I belonging to $Val(\models^{FO})$) forces $I(\forall) = \mathcal{Q}$ to be a **filter**.

Carnap's Question for FO

Theorem (Bonnamy & W-hl 2016)

*The interpretation I over a domain M is consistent with \models^{FO} iff $I(\forall)$ is a *principal* filter.*

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The property of FO forcing the filter $\mathcal{Q} = I(\forall)$ to be principal is:

$$\models^{FO} \forall x \forall y \varphi \leftrightarrow \forall y \forall x \varphi$$

which says that for all $R \subseteq M^2$, and with $R_a = \{b : aRb\}$,

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Proof idea: Note that the Fréchet filter is non-commutative, and generalize to all non-principal filters.

Carnap's Question for FO , cont.

When \mathcal{Q} , interpreting \forall , is a principal filter generated by a set A , we have:

$$(1) (\mathcal{M}, \mathcal{Q}) \models \forall x \varphi [f] \text{ iff for all } a \in A, (\mathcal{M}, \mathcal{Q}) \models \varphi [f(x/a)]$$

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Although it is not completely fixed, an additional reasonable **invariance** requirement does fix it.

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Modal logic is an instructive example.

Syntax is unproblematic: we consider the basic modal language

$$p \mid \neg\varphi \mid (\varphi \wedge \psi) \mid \Box\varphi$$

(other operators defined as usual).

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- It turns out that permutation invariance doesn't help.

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(i) leads immediately to **possible worlds semantics**:

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NB This is not Kripke semantics: nothing about accessibility so far!

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An interpretation I (over W) is **consistent with** a consequence relation \vdash (that is, $I \in \text{Val}(\text{Unif}(\vdash))$) if:

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Some good news:

Theorem (Bonney & W-hl 2016)

Only the standard interpretation of \neg, \wedge is consistent with modal logics.

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With $\mathcal{M} = (W, F, V)$, the **truth definition** is:

- $\llbracket p \rrbracket_{\mathcal{M}} = V(p)$
- $\llbracket \neg\varphi \rrbracket_{\mathcal{M}} = W - \llbracket \varphi \rrbracket_{\mathcal{M}}$
- $\llbracket \varphi \wedge \psi \rrbracket_{\mathcal{M}} = \llbracket \varphi \rrbracket_{\mathcal{M}} \cap \llbracket \psi \rrbracket_{\mathcal{M}}$
- $\llbracket \Box\varphi \rrbracket_{\mathcal{M}} = F(\llbracket \varphi \rrbracket_{\mathcal{M}})$

(\Box means whatever F says it means.)

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Kripke frames, and topological frames, are special cases of neighborhood frames.

Neighborhood semantics

Summing up:

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We arrive at the familiar territory of **neighborhood semantics**, but from a new perspective.

Kripkean interpretations

Definition

(W, F) is **Kripkean** if there is $R \subseteq W^2$ s.t.

$$F(X) = \{w \in W : R_w \subseteq X\}$$

where $R_w = \{v : wRv\}$. I.e. $\mathcal{M}, w \models \Box\varphi$ iff $\forall v(wRv \Rightarrow \mathcal{M}, v \models \varphi)$.

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For **any** neighborhood frame (W, F) , define its **potential accessibility relation** Acc_F :

$$w Acc_F v \text{ iff } v \in \bigcap N_F(w)$$

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Well-known:

Fact

(W, F) is Kripkean iff $\forall X \subseteq W F(X) = \{w \in W : (Acc_F)_w \subseteq X\}$
iff each $N_F(w)$ is a principal filter.

Carnap's Question for the meaning of \square

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NB We are **not** trying to promote Kripke semantics as the 'right' semantics for modal logic.

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Now take Kripkean (neighborhood) frames to be **standard** interpretations of \Box .

And ask what constraints force us to use Kripke semantics.

NB We are **not** trying to promote Kripke semantics as the 'right' semantics for modal logic.

Rather, we note the centrality of Kripke semantics, and try to 'reverse engineer' its role by seeing how and when it originates from modal consequence relations.

A partial answer to Carnap's Question

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Unfortunately, this is very far from true for infinite domains. The following is well-known.

Fact

The class of Kripkean local interpretations is not modally definable: there is no modal logic L such that the neighborhood frames consistent with L are exactly the Kripkean ones.

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Exercise: Explain why permutation invariance is reasonable for first-order logic but not for modal logic.

Approach 1: stronger logics

In view of this, one approach is to go above K and look for (minimal) axioms that force interpretations to be Kripkean.

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If L is a normal extension of KB, then all interpretations consistent with L are Kripkean.

(The **B axiom** is $p \rightarrow \Box \Diamond p$, which on Kripke frames corresponds to **symmetry**, and in algebraic semantics to a **residuation** property.)

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Open question: does this hold for K and KB, in place of S4 and S5?

Approach 2: explore truth locality

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The quote above means the following:

Fact

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Here $\mathcal{M}_{\tau_A} = (A, int_{\tau_A}, V_A)$, where $\tau_A = \{X \cap A : X \in \tau\}$ is the **subtopology** induced by A , and $V_A(p) = V(p) \cap A$.

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If $(A, G) \subseteq_g (W, F)$, then for all V , $\llbracket \varphi \rrbracket_{(A, G, V_A)} = \llbracket \varphi \rrbracket_{(W, F, V)} \cap A$.

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This includes the above invariance facts as special cases.

Point-generated subframes

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Theorem

All strongly local topological frames are Kripkean.

Bisimulation invariance

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Another approach: presumably the most characteristic expression of locality in modal logic is in terms of **bisimulations**.

We can lift the Kripkean version of bisimulation to arbitrary neighborhood models, and show that a certain kind of invariance under this concept forces interpretations to be Kripkean.

K-bisimulations

Idea: regard two neighborhood models as similar from a Kripkean perspective if their associated Kripke models are bisimilar:

Definition

$Z \subseteq W \times W'$ is an **k-bisimulation** between pointed neighborhood models $\mathcal{M} = (W, F, V, w)$ and $\mathcal{M}' = (W', F', V', w')$ ('k' for 'Kripke'),

$$Z: \mathcal{M} \xrightarrow{k} \mathcal{M}',$$

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Intuitively, k-bisimulation invariance says that Acc_F is the only thing that matters for the action of F . Indeed:

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A local interpretation is k-bisimulation invariant iff it is Kripkean.

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- [Comparing these two is possibly interesting: role of principal filters; of permutation invariance; of symmetry (B axiom) vs. commutativity; notion of global quantifier/global interpretation.]
- To do: other logics!

THANK YOU